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**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Application No: 09/682,985
Filing Date: 11/05/2001
First Named Inventor: White
Group Art Unit: 2164
Confirmation No.: 4151
Attorney Docket No. GREN.P001-2

Page 1 of 2

Examiner's Initials	'US Patent Document	Name of Patentee or applicant of cited document	Date of Publication of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
JG	4,980,826	Wagner	25 Dec. 1990	
JG	4,903,201	Wagner	20 Feb. 1990	
JG	5,794,219	Brown	11 Aug. 1998	
JG	4,412,287	Braddock	25 Oct. 1983	
JG	3,573,747	Adams	06 April 1971	
JG	5,168,446	Wiseman	01 Dec. 1992	
JG	4,677,552	Sibley	30 June 1987	
JG	5,794,207	Walker	11 Aug. 1998	
JG	3,581,072	Nymeyer	25 May 1971	
JG	5,077,665	Silverman	31 Dec. 1991	
JG	5,136,501	Silverman	04 Aug. 1992	

FOREIGN PATENT DOCUMENTS



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Examiner's Initials	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS
HS	Document entitled "1996.12.17 prior art search part 1.doc" Print out of a Dialog search on Dec. 17, 1996
HS	1985: Excerpts from "Market Making and the Changing Structure of the Securities Industry"
HS	1990.01.19: SEC Release No. 34-27611 re Delta Government Options Corp.
HS	1990.10.03: Form 1 filed by Wunsch Auction Systems, Inc.
HS	1991.02.20: SEC Release No. 34-28899 re Wunsch Auction Systems, Inc.
HS	1994.10: NYSE Working Paper 94-02 titled "In Search of Liquidity: Block Trades in the Upstairs and Downstairs Markets"
HS	1994.11.21: Document titled "arizona stock exchange user's guide VERSION 2.1"
HS	1996.12.17: Document titled "1996.12.17 prior art search part 0.doc". This is a 217 page print out of a log file that was collected during an interaction with the Dialog search system on December 17, 1996. It shows both the commands that were entered into the Dialog system and the output that was received. I have not reviewed that log file in detail. I have not obtained copies of the patents identified in that log file.
HS	FIX Protocol Version 4.0 Release Notes (made available in January of 1997, but the date shown is January 1996), obtained from a web site
HS	FIX Protocol Version 4.0, obtained from a web site
HS	CMS Interface Standards (with a cover letter that shows that the May 1996 version was being used in April of 1997)
HS	Federal Express Tracking Input Page, obtained from a web site at www.fedex.com
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Examiner John J. Son Date Considered 03/26/05



PTO/SB/08b (08-03)

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<p><i>Application Number</i></p>		<p>09/682,985</p>			
<p><i>Filing Date</i></p>		<p>11/5/2001</p>			
<p><i>First Named Inventor</i></p>		<p>White</p>			
<p><i>Art Unit</i></p>		<p>2135</p>			
<p><i>Examiner Name</i></p>		<p>Linh Son</p>			

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Examiner Signature	John T. Son	Date Considered	09/26/05
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